

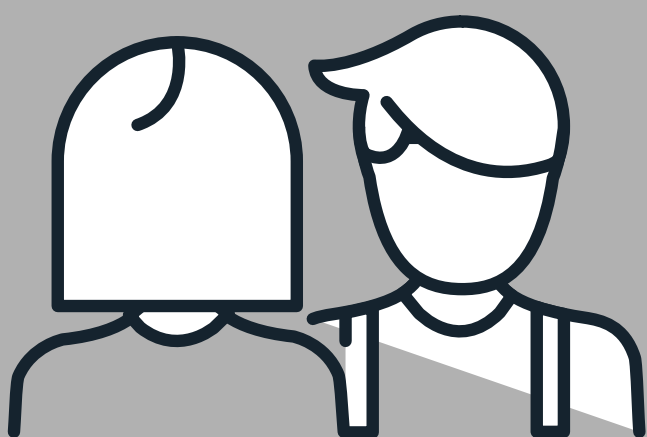


COMPLIANCEPLUS

OUR VALUES

INTEGRITY

Compliance Plus and its employees value and practice integrity, both personally and professionally. Integrity means competing fairly and without bias, as well as never engaging in corrupt practices or bribery. We ensure our work reflects our commitment to providing quality service, and actively seek to improve ourselves.

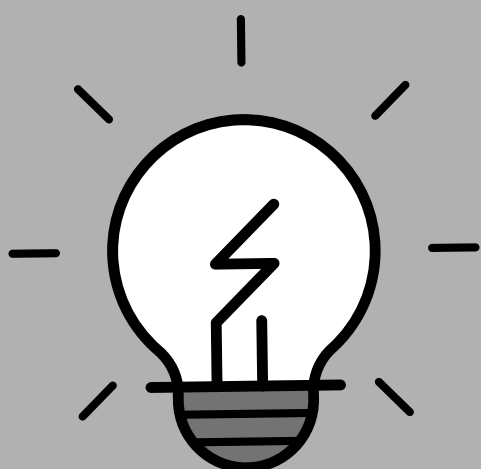


ADVOCATE

In our role under the AML/CFT Act, we consider ourselves responsible for contributing to ensuring the integrity of New Zealand's financial system. Upholding this means that we advocate for our clients and other New Zealanders when they may be unfairly treated or unlawfully dealt with under the AML/CFT Act.

RESPECT & COLLABORATE

We work alongside colleagues and clients with respect, patience and understanding. This means sharing ideas, supporting each other, seeking and appreciating new perspectives, and always – fundamentally – acknowledging each individual's inherent value.



INNOVATE

To improve ourselves, we seek opportunities to engage with clients and fulfil our responsibilities in new, effective and efficient ways. As technology advances, we look towards tools that help our clients, ensure their security and protect their privacy.